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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/110,694	07/07/1998	RANDELL L. MILLS	9113-19-CIS	5009
7590	05/12/2005		EXAMINER	
FARKAS & MANELLI 2000 M STREET N.W. 7TH FLOOR WASHINGTON, DC 200363307			KALAFUT, STEPHEN J	
ART UNIT	PAPER NUMBER		1745	

DATE MAILED: 05/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/110,694	MILLS, RANDELL L.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Stephen J. Kalafut	1745	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 09 August 2004 and 08 March 2005.
- 2a) This action is FINAL.                            2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-326 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-326 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ( <u>3 dates</u> ). | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
|   | 6) <input type="checkbox"/> Other: _____                                    |

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 9 August 2004 has been entered.

Claims 1-326, for reasons of record, are rejected under 35 U.S.C. 101 because the claimed invention lacks patentable utility. See paper no. 10, paragraph no. 2.

Claims 1-326, for reasons of record, are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. See paper no. 8, paragraph no. 3.

Applicant's arguments filed 9 August 2004 have been fully considered but they are not persuasive.

Applicant argues that the "Committee" has failed to respond to his arguments concerning Krieg and Zimmerman, and thus repeats those arguments. The arguments were responded to in the Office Action of 10 February 2004, pages 2 and 3. One point which may need to be clarified is that the Examiner does not say that applicant explicitly alleges that electrons can never be polarized. The Examiner's argument, based on the Zimmerman paper, is that according to

applicant's theory, specifically in his book *The Grand Unified Theory of Classical Quantum Mechanics*, on page 166, electrons traveling together in a beam would have all their axes pointed in the direction of their motion, and thus all be polarized in the same direction. In other words, the directional polarization is implicit from applicant's theory, not explicitly stated in an argument. Other than this, the response in the previous Office Action will not be repeated here.

Applicant argues that the electron is an inertial frame. This is not persuasive because an inertial frame is one in which has constant velocity. This means that both the magnitude and direction of motion are constant. For an electron to revolve around an atomic nucleus, its motion must change direction. Otherwise, it would move away from the nucleus. See the enclosed Internet Pages from the "Zona Land" website. According to the page entitled "Curved Motion", "an object following any type of curved motion will necessarily be changing its direction as it moves. This change in direction constitutes a change in velocity, since velocity is a vector" (emphasis added). According to the page "Non-inertial Frame of Reference", a "non-inertial frame of reference does not have a constant velocity. It is accelerating." Since the electron orbiting a nucleus is changing direction, it is accelerating, even if the speed is constant (speed being the magnitude of the velocity), and a frame which is accelerating is non-inertial, an electron orbiting a nucleus can must be a non-inertial frame.

Regarding the attachments cited in the IDS of 9 August 2004, attachments 102, 103 and 105-107 fall into category (1), as identified in the Office Action of 10 February 2004. Attachments 101, 103 and 104 fall into category (3). Attachments 88, 101, 104 and 105 fall into

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category (4). Attachments K, L, M, N, O, P, Q, R and S fall into category (6). Attachments 58, 60, 81 and 94 are already of record, and mentioned in the Office Action of 10 February 2004.

~~Regarding applicant's response to the Appendix to the Office Action of 10 February 2004, please see the Appendix attached to this Office Action.~~

This is a RCE of applicant's earlier Application No. 09/110,694. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen J. Kalafut whose telephone number is 571-272-1286. The examiner can normally be reached on Mon-Fri 8:00 am-4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick J. Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

sjk

  
STEPHEN KALAFUT  
PRIMARY EXAMINER  
GROUP 1700

# **Response to Request for Continuing Examination SN 09/513,768**

**Bernard E. Souw – Patent Examiner AU 2881**

January 15, 2004

The following is a response to Applicant's arguments against the key analysis provided in the Appendix attached to the May 7 Advisory Action.

## **(a) Regarding Applicant's Remarks in the RCE**

(1) Regarding Applicant's remark in RCE page 10 of 68, reciting "*new standards imposed by the PTO on evaluating the credibility of Applicant's technical papers*", it is to be emphasized that there is **no** "*new standard*" applied in this patent examination: Applicant's invention is deemed *incredible under 35 U.S.C. §101* for violating known laws of nature, and therefore, the burden is on Applicant's side to establish credibility, either by hard evidence, i.e., a working prototype device, or by credible and reliable supports, i.e., peer-reviewed publications in refereed scientific journals. This 35 U.S.C. §101 is not at all an "*arbitrary*" standard, as alleged by Applicant on pp.7-8 of the RCE, but a fundamental law that sets a standard for patent examination (see MPEP 706.03(a)).

Applicant's further remarks on pg.10, that "*it is only fair that the reference materials cited by Examiner Souw in the Appendix attached to the May 7 Advisory Action be subjected to the same standards*", then setting forth on pp. 11-12 that technical papers cited from the journal *Physica* are "*not more scientifically qualified with appropriate review process than the journals that published Applicant's papers*" is again unpersuasive. The journal *Physica* is a

refereed journal, published by the reputable and widely known European publisher, Elsevier, which also publishes about 100 other scientific journals.

Applicant's further remark that "many" of the reference materials cited by Examiner Souw in his Appendix to the May 7 2003 Advisory Action have not been published in accredited journals and/or "most certainly" have not been peer-reviewed, is untrue. Only 2 out of 13 references are not peer reviewed, i.e., refs. (1) and (12), and their citation is justified as follows:

Ref.(1), regarding the He-II 304Å line, is just one example out of an overwhelmingly large number of other (refereed) publications. The He-II 304Å line is a very well known spectral line routinely measured in many observations, so that one example is here sufficient. Furthermore, the line is also listed in many spectral tables.

Ref. (12) may be simply discarded, since it has very little relevance. This means, the last sentence in lines 7-8 on page 12 of the May 7 Appendix may be omitted without any consequence.

Regarding Dr. P. Zimmerman's allegedly non-peer-reviewed paper, Applicant's own explanation on page 10 sufficiently provides his acknowledgement that the paper is well reviewed, not just by a few referees, but by the scientific community. Other non-journal references cited in the May 7 Appendix are official publications of reputable universities and institutes, such that dismissing them would automatically dismiss the issuing institutions.

(2) Contrary to Applicant's allegation on pg.13, 1<sup>st</sup> full paragraph, lines 2-4, the PTO's view is not at all that the existence of lower-energy hydrogen were impossible, but instead, that (a) Applicant's invention is not supported by any experimental fact or evidence, and (b) the underlying theory (i.e., GUT/CQM) fails to support the invention, because it contains too many flaws. A few of such flaws have been already exposed in the May 7 Appendix, none of which have been persuasively argued or refuted by Applicant in the RCE, as will be demonstrated in the next section(s).

(3) Applicant's allegation against Examiner Souw's technical publications cited in the Appendix as being allegedly "a *subject of Applicant's criticism*" is misaddressed, since those papers have nothing to do with Applicant's claim(s). They merely provide support to the Examiner's viewpoint through evidence of sufficient proficiency in Quantum Mechanics. They also expose the inadequacy or factual incapacity of Applicant's GUT/CQM to derive comparable formulation for transition probabilities and line intensities, complete with verifiable selection rules, of which the conventional QM is proven capable, as demonstrated by the Examiner's own experimental and theoretical works cited in the May 7 Appendix. A classic example is here the famous work by E.H. Condon and G.H. Shortley, "The Theory of Atomic Spectra", Cambridge 1967, which serves as a standard to most advanced spectroscopists until today. One cannot dismiss an established and evidently successful theory without offering a better alternative. Therefore, Applicant's GUT/CQM remains incredible; or speculative at best.

Since the cited Examiner's papers cannot possibly have been criticized by Applicant, citing his own publication(s) does not make the Examiner's view "biased", as alleged by Applicant. In the contrary, such technical papers provide a solid evidence that the Examiner is in possession of sufficient background for evaluating Applicant's claimed invention. In this regard, the Examiner can add a further evidence of strong background in microwave plasmas generated in a resonance cavity similar to those used by Applicant, not only in theory, but also hands-on in its design, construction and routine operation, as well as in its spectroscopy, both low and high resolutions [1].

Applicant's insistence that the present application be examined only by those Examiner(s) who have *no* pre-knowledge of QM is unpersuasive, since such an Examiner would not be able to give any evaluation --let alone, judgment-- on the patentability or credibility of Applicant's invention. In a normal legal process, a jury member's judgment may be biased under a pre-knowledge of the case, but still, he/she must be knowledgeable in the subject matter. In a patent examination process a pre-knowledge of the relevant subject matter lends the Examiner a better capability to make proper judgment about the credibility or patentability of the claimed invention.

(4) Regarding Applicant's allegation on page 12, 5<sup>th</sup> full paragraph, that "Examiner Souw prefers engaging in theoretical debate", it is to be noted that a patent examination is not a debate, but an evaluation of an invention with regard to its novelty and patentability, or --in a negative case-- its incredibility.

Furthermore, the Examiner's refutation presented in the May 7 Appendix is not just theoretical, but also experimental, among others, regarding a He-II line that has been misidentified by Applicant as a hydrino line (Appendix page 3, 1<sup>st</sup> paragraph), also regarding a contamination peak in Applicant's XPS spectra that has been misidentified by Applicant as being due to a hydrinoide compound. (this terminology –meaning a hydrino-based "hydride"--, is here preferred rather than Applicant's terminology "*novel hydrogen compound*", for which there is no evidence for its existence, neither experimental nor theoretical).

It is noted, none of the experimental refutations presented by the Examiner in the May 7 Appendix has been persuasively and successfully argued by Applicant. This will be addressed in more details in the following Examiner's Response to Applicant's arguments against the Appendix attached to the May 7 Advisory Action.

**(b) Regarding Applicant's arguments against the 05/07 Appendix**

(1) Applicant's argument on page 24, 1<sup>st</sup> full paragraph, with regard to impurity peaks in XPS spectra, in which Applicant is questioning *the Examiner's* "credibility" for allegedly proposing "*no alternative assignment*", is unpersuasive. The Examiner has given a *definitive* (not just alternative) assignment to Applicant's hydrinoide peak, i.e., as a contamination or impurity peak, complete with its experimental evidence, i.e., the fact that it disappears after the sample surface has been cleaned, as recited in May 7 Appendix, page 4, lines 9-12.

Applicant's argument (pg.24, 1<sup>st</sup> full paragraph, lines 1-5) that a TOF-SIMS

pre-analysis conducted on the sample "does not show any *impurities*" is unconvincing, since it does not prove that Applicant's sample is uncontaminated, but merely indicates that Applicant's pre-analysis itself is deficient (Applicant's XPS spectrum, in agreement with ref.(2) of 05/07 Appendix, does show such contamination!).

It is well-known in the art that TOF-SIMS is more adapted to mass-profiling, owing to its high mass resolution capability, whereas XPS is more suitable for surface contamination analysis, due to its high surface sensitivity [2]. A typical TOF-SIMS surface sensitivity or resolution is 0.15 µm, i.e., 50 times worse than XPS (typically 0.003 µm [2]/pg.1). Apparently, Applicant's sample was contaminated due to inadequate precautions during its preparation, as advised in ref. [3]/pg.2 (base pressure must be below  $2 \cdot 10^{-10}$  mbar). Applicant's confusion with his own XPS results has been further enhanced by the well-known variability of the XPS peak position with regard to valence/oxidation state of the atom and its chemical environment [4]/pg.1, as well as due to surface charging [3]/pg.4, altogether finally led to Applicant's peak misidentification.

- (2) Applicant's argument on page 24, 1<sup>st</sup> full paragraph, lines 6-9, is unconvincing because it has no substance, seemingly an attempt to defend and justify Applicant's obvious misidentification of the 304Å He-II line that has been already pointed out in the May 7 Appendix, page 3, 1<sup>st</sup> paragraph. Applicant's "new evidence" in the form of recent (2003) publications on EUV spectra from a microwave discharge of He/H mixture does not change the fact that Applicant has factually misidentified the 304Å line as being due to a hydrino transition.

Regarding Applicant's claim of other EUV lines in a 2003 paper (RCE ref.(50)), the Examiner does not see any compelling reason why they must be due to hydrino transitions, since there are many other possible origins that are conventional, as also addressed in the main part of this Office Action. In this regard, Applicant's explanation as to how a catalytic reaction (?) with, or resonance (?) transfer to helium ions (ionization energy 54.4 eV) could possibly induce a nonradiative transition of atomic hydrogen to  $n=1/2$  ( $\Delta E < 54.4$  eV !), and then followed by radiative transition further to  $n=1/3$  or lower, is not persuasive (starting with which  $n$ ? Hopefully not  $n=\infty$ , since that "resonance" is not a transition, but electron capture!).

In short, to attribute those new lines to hydrino origin is speculation. Applicant is here trying to speculatively interpret a vague experimental observation to justify his own hypothesis of hydrino.

(3) Regarding the Balmer  $\alpha$  line broadening recited on page 24, last paragraph, and page 25, 1<sup>st</sup> – 6<sup>th</sup> paragraphs, Applicant's arguments are unpersuasive, because:

(i) the Black Light Process Theory is not a valid argument or support, for being based on a flawed theory not accepted by the scientific world, i.e., the GUT/CQM, also for lack of experimental evidence. Furthermore, to prove something using the same thing that is to be proven, is a classic *Circular Reasoning*.

(ii) Regarding the alleged hydrino origin of Applicant's hydrogen line broadening, it is too well-known that hydrogen transitions are easily perturbed by the plasma and microwave fields, since the atomic hydrogen has only one electron that is not protected by screening effects, especially those having large  $l$  quantum numbers. Especially in microwave plasmas, anomalous broadening of hydrogen lines (also of helium lines) has been subjected to experimental and theoretical studies for decades [5].

In this regard, Applicant's repeated referrals to his own measurements of such anomalous broadening as an "overwhelming evidence" for the existence of hydrino, is not persuasive in at least two aspects.

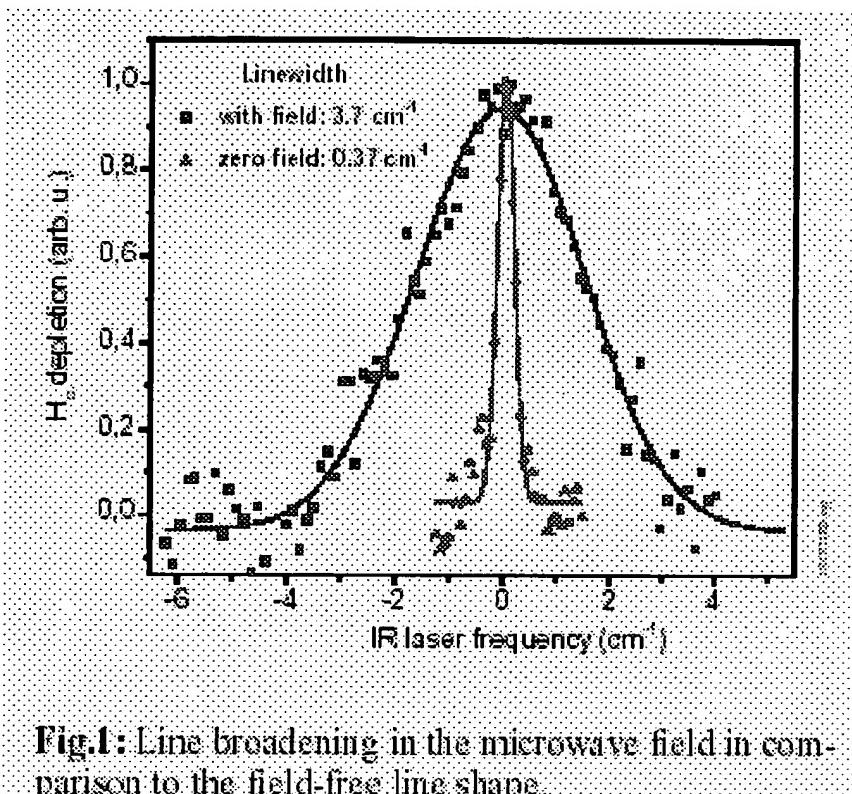
Firstly, Applicant's reports reciting catalytic reaction involving hydrino as the origin for the line broadening observed, are mostly non-refereed publications, as already identified in the previous Office Actions. This also applies to the new references presented in the RCE (see main Office Action). Those published in peer-reviewed journals do not mention "hydrino", or "hydrogen states with fractional energy levels", or anything the like, and therefore, they cannot be considered as "support". In a very few cases, ambiguous term(s) are used by Applicant, so they can neither be considered support for Applicant's hydrino, since what a person of ordinary skill in the art understands under, e.g., "*novel catalytic reaction involving atomic hydrogen*", or "*new kind of plasma*" may be anything else but not related to Applicant's "*hydrino*" as hypothesized in the GUT/CQM. For example, Applicant's most recent reference [6] (RCE ref.(49)) does not recite the word "*hydrino*", or "*hydrogen of fractional energy level*", or the

like, nor does it refer to GUT/CQM at all. Consequently, the phenomenon described in such papers must be considered as being completely unrelated to Applicant's invention.

Secondly, and most importantly, anomalous hydrogen line broadening is not at all an evidence for the existence of hydrino, because it is well known in the art that such a broadening may be caused by many other conventional mechanisms, such as microwave plasma effects, the latter having not been considered by Applicant. Instead, such an effect has been sofar ignored or dismissed by Applicant without any valid reason. The measured excessive line-width shown in Applicant's Fig.6 of ref.[6], i.e., 0.27 nm, is about the same magnitude as what is measured by other authors, e.g., ref.[5] cited in the May 7 Appendix, here reproduced in Fig.1 below.

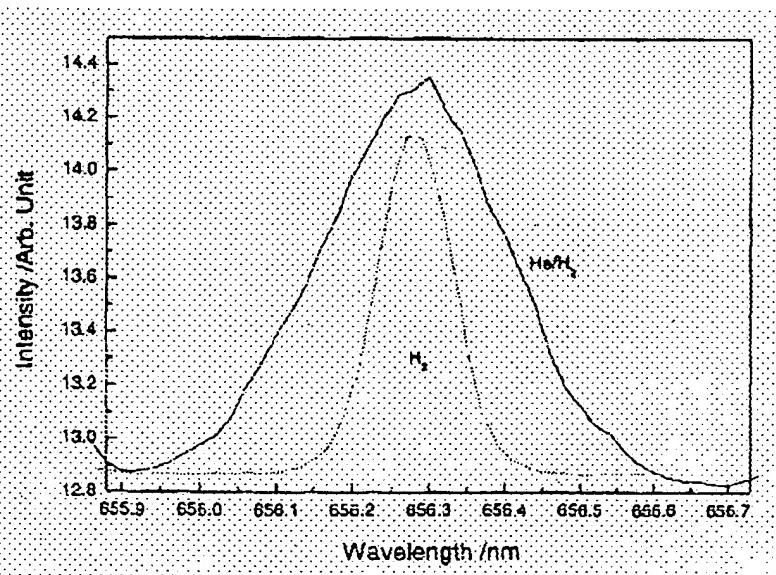
As shown in Fig.[1], the anomalous line width of 0.16 nm, measured in a microwave discharge similar to Applicant's under the same gas mixture and pressure range, is about 10 times the Doppler width, and has been attributed to microwave plasma effects. Applicant's previous criticism on this reference [5] is unjustified. The paper was a conference publication posted by a research group from the University of Essen, Germany. It is not a lone-standing unrefereed paper as alleged by Applicant, but instead, supported by a large number of experimental and theoretical works, as listed at the end of the paper. It would have been extremely doubtful to one of ordinary skill in the art if any cause other than the RF/microwave field itself should be held responsible for an effect that is only and exclusively observed in RF/microwave plasmas.

**Fig.1 (from ref.[5])**



**Fig.1:** Line broadening in the microwave field in comparison to the field-free line shape.

**Fig.2 (from Applicant's recent publication [6] Fig.6)**



Thus, Applicant's "excessive *line broadening*" is not at all an evidence for Applicant's hypothetical "hydrino", but rather, a conventional effect that has been already observed by other authors, as evidenced in Fig.1. Such a high frequency Stark effect has never been considered in any of Applicant's numerous reports on "anomalous" or "excessive" line broadening. Instead, Applicant always assumes that Doppler effect were the main cause, as clearly stated on pp. 6-7 of Applicant's Appendix attached to the RCE. The assumption of Doppler effect as a main mechanism for Applicant's line broadening has been known as incorrect for RF and microwave plasmas, as discussed above. Applicant's mis-assumption of this line-broadening mechanism automatically disqualifies all Applicant's arguments based on anomalous or excessive line broadening in microwave plasmas.

Applicant's statement on page 6, that "The Examiner does not present any alternative explanation for the cited data", is doubly unpersuasive. Firstly, alternative explanation was readily provided by the result shown in Fig.1, which has been explicitly cited in the previous May 7 Appendix [5].

Secondly, there is no obligation for the Examiner to provide "*alternative explanation*" as demanded by Applicant, since a patent examination is neither a scientific debate nor a scientific research, such that Applicant's demand is improper. Similar to the case of the misidentified XPS contamination line, for the purpose of patent examination only the factual evidence is relevant, i.e., that the broadening is not due to Applicant's hypothetical hydrino. Any attempt to rely on such hypothesis (notwithstanding its theoretical flaws), where there is already

another theory that is scientifically sound and experimentally verified, is essentially unjustified, and hence, must be deemed incredible, or speculative at best. After all, it is Applicant's invention that is deemed incredible under §101, so the burden is on Applicant's side to prove his credibility.

(iii) Inversion of line intensities, either of the Balmer series or other series, is well known in laser and plasma physics since many decades. As already recited in the previous Office Action, one of ordinary skill in the art should better look for other conventional mechanisms as a cause for inversion of line intensities and enhanced EUV emission, instead of making a premature claim of a hypothetical hydrino. Furthermore, the references named by Applicant do not specifically recite the unambiguous word "hydrino", or "hydrogen states with fractional energy levels", or anything the like, so they cannot be considered as support for Applicant's hydrino hypothesis.

(iv) Novel VUV vibration spectra, too, may be caused by conventional effects, such as molecular gas dynamics, i.e., an exchange of translational and vibrational energies, which is the principle of gas dynamic lasers, and has nothing to do with hydrinos.

(v) Waterbath calorimetry is an outdated method that has proven unreliable for demonstrating the efficiency of energy generation, as evidenced by the infamous failure of the cold fusion experiment, which also relied on such calorimetry, but could not be reproduced by anyone else.

(4) Applicant's misinterpretation of the Examiner's statement of a *stationary state* as being of a *static electron*, i.e., an *unmoving electron* (Applicant's Response, pg.27, 2<sup>nd</sup> paragraph, lines 1-2 and 5-6) is a grave *misrepresentation*. No person skilled in the art would ever misunderstand such a simple, conventional terminology like "stationary state".

(5) Given two distributions of positional probabilities,  $|\psi_1|^2$  and  $|\psi_2|^2$ , it is quite obvious to one of ordinary skill in the art that the trajectory of an electron in an upper state  $\psi_2$  may easily, and smoothly, "slip" or transit into the trajectory of a lower state  $\psi_1$ , and that the probability for such a transition is proportional to the amount of a spatial overlapping of the two (complex) probability distributions,  $\psi_1^* \psi_2$ . Given the further fact that --due to energy conservation-- the transition is a direct result of, and hence, must be simultaneously accompanied by electromagnetic radiation, a quantitative formulation for the transition probability must involve an electric dipole (or multipole). Thus, the dipole transition probability is expressed by the dipole component of the overlapping, i.e.,  $\int (\psi_1^* \cdot \mathbf{er} \cdot \psi_2) d^3r$ . This formulation is solidly supported by the fact that no transition is observed between two spherically symmetric states ( $l=0$ ), since the transition dipole is zero, although the two distributions do overlap. The correctness of conventional QM is ultimately proven by the fact that highly complex quantum theoretical calculations of transition probabilities and line intensities has been experimentally verified to great accuracies, as demonstrated in the May 7 Appendix. This is to be compared with the factual incapacity of GUT/QCM for doing the same, as discussed previously.

Thus, in contradiction to Applicant's allegation, it has been demonstrated that the rationale behind the atomic transition, including its quantitative formulation, is completely logical and can be understood by one of ordinary skill in the art, as world-wide confirmed by all scholars in quantum physics.

(6) Applicant's use of the Haus's formula is based on a misunderstanding of the electromagnetic theory, i.e., (a) confusing electromagnetic wave fields with charge density by putting the charge density  $\rho$  in place of electric field  $E$  in the Helmholtz equation containing the Laplace operator (GUT/QCM pg. 48/Eq.1.1 and Applicant's Response to the May 7 Appendix, pg.35/Eq.2), and (b) confusing current density with charge density, i.e., by putting a charge density  $\rho$  in place of current density  $j$  in Haus's Eq.6. In an attempt to justify his position, not only Applicant has failed to correct the relevant mistakes, but he further introduced new inconsistencies in regards of the fundamental concept of physics, i.e., by equating the current density  $j$  with the product of charge density  $\rho$  and angular velocity  $\omega$ , under the wrong assumption that "*in the case of harmonic motion the current density function is given by the time derivative of the charge density function*", such that "*the current density function is given by the product of the constant angular velocity  $\omega$  and the charge density function  $\rho$* " (pg.40/lines 2-7 from bottom), i.e., Applicant meant  $j = dp/dt = \rho_0 \cdot d(\exp-i\omega t)/dt = \rho \cdot \omega$ . However, a quick & simple dimensional analysis shows there is a unit of length missing in Applicant's formulation. The correct formula is  $j = e \cdot \rho \cdot v = e \cdot \rho \cdot \omega \cdot r$ , which results in  $[C] [m^{-3}] [sec^{-1}] [m] = C/m^2/sec$ , bearing the proper unit of current density. Note further that neither  $\omega$  nor  $r$  is constant, since the constant of motion is here the

angular momentum  $\sim \omega r^2$ . Thus, Applicant's formulation of the Haus's condition remains incorrect as stated in the 05/07 Appendix.

(7) As a last test, to evaluate one more of Applicant's responses to the Examiner's 05/07 Appendix, i.e., on Applicant's misunderstanding of the Special Relativity Theory by incorrectly applying the Lorentz contraction formula on an orbiting electron, where it is well known in the art that Special Theory is only valid for linearly moving systems without acceleration. In an attempt to justify his initial violation of physics law, Applicant sets even forth with violating another fundamental principle of special relativity by postulating a (radial) velocity equal to  $c$  (pg.41/lines 1-2 after Eq.1.44). Firstly, a velocity equal to  $c$  would make the electron mass infinite. Secondly, if the radial projection or component of the velocity is equal to  $c$ , then the magnitude of the electron's velocity must be even larger than  $c$ , which then means Applicant's electron is a "tachyon" that does not belong to our real world. Thirdly, Applicant is violating the QM by postulating an electron wave number  $k$  that is equal to  $\omega/c$ , i.e., having no dispersion (pg.31/lines 2-4 after Eq.1.65 and pg.42/1<sup>st</sup> full paragraph, lines 2-3). Applicant's proof of Haus's non-radiative condition by substituting Eq.1.45 into Eq.1.40 with  $k=s=\omega_n/c$  and  $r_n=\lambda$ , which results in  $\text{sinc}(2\pi r_n) \rightarrow \text{sinc}(2\cdot 2\pi)=0$ , is only possible under the (wrong) assumption of a dispersionless wave  $k=\omega_n/c$ , which is only valid for photons, but invalid for electron and material waves in general. Being a material wave, the electron must have a dispersion, with a group velocity  $V$  related to the frequency  $\omega$  according to the dispersion formula  $V=d\omega/dk$ , where  $k$  is the wave number, defined as  $k=2\pi/\lambda$ , and  $\lambda$  is the *de Broglie* wavelength,

related to the particle momentum  $p$  as  $\lambda=h/p$  with  $h=$ Planck's constant, thus resulting in  $p=\hbar k/2\pi=\hbar k$ . We thus have the energy-momentum relation  $E = p^2/2m + U = \hbar^2 k^2/2m + U$ , where  $U$  is the potential energy. In QM, the particle's energy is related to the particle-wave angular velocity  $\omega$  as  $E=\hbar\omega$ . This results in a dispersion relation for material waves,  $\omega = \omega(k) = (\hbar^2 k^2/2m+U)/\hbar$ , which also means that material waves is always dispersive,  $k = [\sqrt{2m(\hbar\omega-U)})/\hbar$ . In other words,  $k$  can never be a constant in terms of  $\omega$  as postulated by Applicant in lines 2-3 of the 1<sup>st</sup> full paragraph on page 42, i.e.,  $k=\omega_n/c$  only valid for mass-less photons, but not for electrons. Even if Applicant's assumption of  $r_n=\lambda_n$  were accepted (in fact it is wrong, because of said violation of special theory of relativity), substituting  $s=k=[\sqrt{2m(\hbar\omega-U)})/\hbar$  into Applicant's Eq.1.40, we obtain a condition  $K(s,\omega) \sim \sin(2s \cdot r_n) = \sin(2\lambda_n \sqrt{[(2m(\hbar\omega-U))}/\hbar])$  which does not identically vanish as Applicant (wrongly) postulated on pg. 42/1<sup>st</sup> full paragraph, lines 1-2 (consequently, also in GUT/CQM). Consequently, relying on Applicant's own words, the hydrino state should be radiative, too.

Apart from the fateful mistake described above, Applicant's condition for vanishing  $K(s,\omega)$  is mathematically and conceptually incorrect, for being only fulfilled for a perfectly circular electron orbit. For general circular motion, the argument of Applicant's **sinc** function,  $2s \cdot r_n$ , is not constant in time, because it is not a constant of motion, as discussed previously. Thus, Applicant's non-radiative condition applies only to a perfectly circular electron orbit (pure classical mechanics). General hydrino states must be radiative, in self-contradiction with Applicant's initial *postulate* (not a first principle).

We see, in this one small part of Haus's radiationless condition alone, it has been proven that Applicant's GUT/CQM contains numerous mathematical and conceptual errors, all these in addition to those already discussed in the previous 05-07 Appendix, which also have not been properly argued. Moreover, Applicant's arguments not only violate the QM, but also the special relativity theory, electrodynamics, classical mechanics, and the classical wave theory as well.

## **References:**

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